

1 Geographically Biased Composition of NetMHCpan
2 Training Datasets and Evaluation of MHC-Peptide
3 Binding Prediction Accuracy on Novel Alleles

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11 **Abstract**

12 Bias in neural network model training datasets has been observed to decrease prediction
13 accuracy for groups underrepresented in training data. Thus, investigating
14 the composition of training datasets used in machine learning models with health-
15 care applications is vital to ensure equity. Two such machine learning models are
16 NetMHCpan-4.1 and NetMHCIIpan-4.0, used to predict antigen binding scores to major
17 histocompatibility complex class I and II molecules, respectively. As antigen pre-
18 sentation is a critical step in mounting the adaptive immune response, previous work
19 has used these or similar predictions models in a broad array of applications, from ex-
20 plaining asymptomatic viral infection to cancer neoantigen prediction. However, these
21 models have also been shown to be biased toward hydrophobic peptides, suggesting
22 the network could also contain other sources of bias. Here, we report the composi-
23 tion of the networks' training datasets are heavily biased toward European Caucasian
24 individuals and against Asian and Pacific Islander individuals. We test the ability of
25 NetMHCpan-4.1 and NetMHCpan-4.0 to distinguish true binders from randomly gener-
26 ated peptides on alleles not included in the training datasets. Unexpectedly, we fail
27 to find evidence that the disparities in training data lead to a meaningful difference in
28 prediction quality for alleles not present in the training data. We attempt to explain
29 this result by mapping the HLA sequence space to determine the sequence diversity
30 of the training dataset. Furthermore, we link the residues which have the greatest im-
31 pact on NetMHCpan predictions to structural features for three alleles (HLA-A*34:01,

32 HLA-C*04:03, HLA-DRB1*12:02).

33 **Keywords:** NetMHCpan, training bias, MHC, HLA, peptide, machine learning,
34 neural networks

35 1 Introduction

36 Antigen presentation by the major histocompatibility complex (MHC) class I and II proteins
37 (referred to as HLA in humans) is one of the crucial steps to activating the adaptive immune
38 response, and the genes which encode these proteins are some of the most polymorphic
39 genes in humans [1]. As a result, the epitopes presented to T cells are determined partly
40 by the binding affinity between the peptide fragment of the antigen and the host-specific
41 MHC protein, which is determined by the amino acid sequences of both peptide and MHC.
42 Because of the central role of this process in adaptive immunity, the ability to predict which
43 peptides will bind to a given MHC allele has utility in diverse fields. For example, peptide-
44 MHC binding predictions have been used to select peptides for a cancer neoantigen vaccine
45 and to explain asymptotic SARS-CoV-2 infection in individuals with a specific HLA-B allele
46 [2][3]. While molecular dynamics (MD) systems exist for modelling these complexes [4][5],
47 the current consensus is that neural network prediction models are accurate enough at
48 predicting binding affinity to be used in clinical settings [6]. Many such tools have been
49 developed to predict peptide binding to both MHC class I and MHC class II [7][8][9][10].
50 Two of neural-network based predictors, NetMHCpan-4.1 and NetMHCIpan-4.0 (here on
51 out collectively referred to as NetMHCpan) are hosted on a popular web server and are fast
52 to return predictions, making them popular choices for predicting peptide-MHC binding
53 [11].

54 However, NetMHCpan does not rely on any structural information about the peptide or
55 MHC molecule, and only takes an amino acid sequences for the peptide and MHC protein
56 as input, which limits the model’s ability to generate mechanistic explanations for its bind-
57 ing predictions. Additionally, the tool is closed-source, exacerbating its “black box” nature
58 and prompting investigations into potential hidden biases. A previous study has shown
59 NetMHCpan-4.1 has a previously unreported bias toward predicting hydrophobic peptides
60 as strong binders, suggesting the predictions of these models need to be examined closely
61 [12].

62 Many times when medical and biological neural network based prediction systems have
63 been evaluated, researchers have uncovered numerous examples of racial bias in machine
64 learning algorithms [13][14][15]. Furthermore, datasets from prior genomic studies often fail
65 to capture the genetic diversity of the human population, often focusing on individuals of
66 European descent, [16][17][18]. As these two significant effects intersect to produce models
67 that overfit to overrepresented populations, it is vital that neural-network models be care-

68 fully investigated to determine the extent to which there is bias in the training dataset, and
69 if it exists, the extent to which this bias affects the model predictions.

70 To determine the impact of training dataset bias on NetMHCpan’s predictions, we exam-
71 ined the geographic distribution of NetMHCpan’s training dataset and determined which
72 populations are likely to have alleles not represented in NetMHCpan’s training dataset.
73 We then measured the performance of NetMHCpan on alleles not present in its training
74 dataset, and compared the performance to binding predictions for alleles present in its
75 training dataset. To better understand these predictions, we created a map of HLA se-
76 quence space to determine the diversity of the dataset at the sequence level. Finally, for
77 each of three MHC molecules not in NetMHCpan’s training dataset, we determined the
78 residues of that molecule that have disproportionate impact on NetMHCpan’s predictions.

79 This paper presents a geographic imbalance in the HLA types present in NetMHCpan’s
80 training data, yet fails to find a significant drop in the accuracy of the network’s peptide
81 binding predictions for alleles not present in the training data compared to the accuracy of
82 the models’ prediction on alleles present in the training dataset. Furthermore, the results
83 suggest two possible explanations for this finding. First, while the model may be lacking
84 in geographic diversity, the alleles represented in the training dataset cover a large range
85 of HLA sequences. Second, the model gives attention to residues structurally involved in
86 peptide-MHC complexes for novel alleles.

87 **2 Materials and Methods**

88 **2.1 MHC Allele Population Demographics**

89 Data on HLA allele population frequencies were downloaded from the National Marrow
90 Donor Program (NMDP) [19]. The dataset contains HLA-A/B/C/DRB1 population fre-
91 quencies from $n = 6.59$ million subjects from 21 self-reported racial groups, which are
92 combined into six larger ethnicity categories, given in Supplementary Table S1. Because
93 NetMHCpan uses a motif deconvolution algorithm for training, there exist data points in
94 the eluted ligand dataset where a peptide corresponds to multiple MHC alleles [11]. In this
95 case, we conservatively counted an allele as present in the training dataset if there is at least
96 one positive example of a peptide binding to the associated cell line.

97 **2.2 Evaluating NetMHCpan Performance**

98 **2.2.1 Evaluation Datasets**

99 In order to evaluate the performance of NetMHCpan, we used a dataset from Sarkizova
100 et. al. [20]. The dataset consists of eluted ligand (EL) data for 31 HLA-A alleles, 40
101 HLA-B alleles, and 21 HLA-C alleles, with a median of 1,860 peptides per allele, generated

102 by cell lines engineered to express only one HLA type. We excluded HLA-B alleles, as
103 all forty of the HLA-B alleles had some presence in the NetMHCpan training data. We
104 filtered the remaining peptides to only include 9-mers, and removed any 9-mers included in
105 NetMHCpan’s training data from the evaluation set. Of these alleles, 7 (A*24:07, A*34:01,
106 A*34:02, A*36:01, C*03:02, C*04:03, and C*14:03) have no representation in NetMHCpan’s
107 training data (binding affinity or eluted ligand).

108 As no similar dataset exists for MHC class II, we created an evaluation set by download-
109 ing peptides from IEDB [21]. For each allele, the filters used were “Include Positive Assays”,
110 “No T cell assays”, “No B cell assays”, and “MHC Restriction Type: [allele] protein com-
111 plex.” To choose DRB1 alleles of interest, we selected alleles for which NetMHCIIpan-4.0
112 had eluted ligand data from a cell line engineered to express only one HLA-DRB1 allele. To
113 obtain data for HLA-DRB1*12:02, we use a eluted ligand dataset from cell line C1R express-
114 ing HLA-DR12/DQ7/DP4 [22]. Because the cell line expressed both HLA-DRB1*12:02 and
115 HLA-DRB3*02:02:01, Gibbs Cluster was used to separate the two groups [23] (Supplemen-
116 tary Figure S1). The group belonging to DRB1*12:02 was identified by the absence of F at
117 P1, the absence of N at P4, and the presence of Y/F at P9.

118 To provide negative controls for both MHC class I and II, the real peptides were combined
119 with randomly generated peptides so that the ground truth peptides made up 1% of the
120 final evaluation set. For the MHC class II dataset, the length distribution of the randomly
121 generated peptides was fixed to be equal to the length distribution of the ground truth
122 peptides. Peptides were generated by choosing each amino acid at random with frequencies
123 corresponding to amino acid frequencies in the human proteome.

124 **2.2.2 Log Rank Predictions, Motif Entropy Correction, and AUC**

125 As a result of the above preprocessing steps, we obtained a dataset for 31 HLA-A alleles,
126 21 HLA-C alleles, and 11 HLA-DRB1 alleles, each dataset being made up of 1% pep-
127 tides experimentally verified to bind to the HLA allele in eluted ligand assays, and 99%
128 randomly generated peptides (to serve as a control). Random peptides are generated by
129 randomly sampling amino acids using all organism amino acid frequencies [24]. Testing the
130 methods with randomly generated peptides sampled directly from the human proteome did
131 not significantly change the results (Supplementary Figure S2). For each allele, we used
132 NetMHCpan-4.1 or NetMHCIIpan-4.0 to generate an eluted ligand score for each peptide
133 in the training dataset, and ranked all peptides by their EL scores. We then measured
134 performance based on the distribution of log ranks for the experimentally verified peptides.
135 For example, if the model is a perfect predictor, all real peptides will have a \log_{10} rank
136 below -2, and if the model is a random predictor, 90% of real peptides will have a \log_{10} rank
137 between 0 and -1.

138 To correct for any discrepancies in difficulty predicting ligands based on selectivity of

139 the MHC binding motif, we calculated the Shannon entropy of the binding motif for each
140 allele as $-\sum_a p_a \log_2(p_a)$, where p_a is the frequency of amino acid a in the allele-specific
141 experimentally verified binding peptides. We then performed a linear regression for the log-
142 rank against the entropy, shown in Supplementary Figures S3 and S4. For both MHC class
143 I and class II, we found alleles with lower entropy (more predictable) motifs were associated
144 with better predictions, as expected. Therefore, we created a correction factor for each allele
145 measuring the expected difference in predictions compared to the mean, and subtracted that
146 from the distributions to be able to compare alleles with different binding motif entropies.

147 Additionally, because MHC class II proteins bind a core motif that can contain additional
148 amino acids on the ends that do not affect the binding prediction, we encountered cases in
149 the MHC class II dataset where multiple versions of a peptide contained the same core
150 sequence, with minor discrepancies at the start and end of the peptide. Therefore, in this
151 case, we chose to weight the MHC class II peptides based on NetMHCIIpan-4.0's reported
152 binding core, such that each core was weighted equally.

153 To determine a 95% confidence interval for the difference between the median of the
154 ranks of the alleles with and without training data, a bootstrap procedure was used. Data
155 were sampled with replacement for a number of times equal to the size of the data, and the
156 difference between the medians of the bootstrap samples was calculated. This was repeated
157 10^6 times, and the 0.025 and 0.975 quantiles were reported as the 95% confidence interval.

158 Finally, we calculate AUC as the area under the ROC (TPR-FPR) curve. The true
159 positive rate (TPR) is defined by $TPR = TP/(TP + FN)$, and the false positive rate
160 (FPR) is defined by $FPR = FP/(FP + TN)$. True positives are defined as experimentally
161 verified peptides with a score greater than a given cutoff, and false positives as randomly
162 generated peptides with a score greater than a given cutoff. True negatives are defined as
163 as randomly generated peptides with a score less than a give cutoff, and false negatives as
164 experimentally verified peptides with a score less than a given cutoff.

165 **2.3 MDS of HLA Alleles**

166 Using the NMDP frequency database, HLA-A, B, C, and DRB1 alleles with a frequency
167 greater than 0.01% in any population were selected ($n = 135$ HLA-A, $n = 258$ HLA-B,
168 $n = 66$ HLA-C, $n = 118$ HLA-DRB1). The IPD-IMGT/HLA alignment tool was used to
169 create an alignment of the selected HLA full protein sequences [25]. In cases where large
170 gaps occurred at the beginning or end of the alignment, gaps were filled with the most com-
171 mon amino acid occurring at that residue. Similarity between sequences was measured by
172 summing the values of the PAM100 matrix for each pair of amino acids in the two sequences
173 [26]. Distance was then measured as the difference between the maximum similarity and the
174 computed similarity, normalized so that the maximum distance was reported. Scikit-learn's
175 MDS algorithm with default parameters was used to compute the MDS [27][28].

176 **2.4 NetMHCpan Residue Substitution Sensitivity**

177 Here, we describe a technique similar to the occlusion sensitivity technique common in the
178 field of computer vision. We chose the alleles HLA-A*34:01, HLA-C*04:04, and HLA-
179 DRB1*12:02 for the following experiments, as NetMHCpan performed the poorest on these
180 three alleles. For each allele, we used NetMHCpan to predict the eluted ligand score for
181 all the experimentally verified peptides, using an unmodified version of the MHC sequence.
182 Next, for residues 1-205 (29-125 for DRB1*12:02), we asked NetMHCpan to predict the
183 eluted ligand score for all experimentally verified peptides, using a version of the MHC
184 sequence where for each residue, each of the other 19 amino acids was substituted. From this,
185 we took the 5 amino acids for which NetMHCpan predicted the lowest scores, and calculated
186 the mean difference between EL scores for the mutated and unmutated predictions, as to
187 investigate the effect of replacing residues with dissimilar amino acids. Repeating this
188 for every residue, we then obtained a metric for the relative importance of the residue to
189 NetMHCpan's predictions. HLA tertiary structures were generated using PANDORA and
190 visualized using PyMOL [4], [29].

191 **2.5 Software Versions**

192 The following software versions were used: NetMHCpan (4.1), NetMHCIpan (4.0), PAN-
193 DORA (2.0), GibbsCluster (2.0), PyMol (2.6.0a0), sklearn (1.3.0). For any software that
194 had options for a web-based and local version, a local version was always used.

195 **3 Results**

196 **3.1 Common European Caucasian HLA Types are Overrepresented** 197 **in NetMHCpan Training Data**

198 As neural network prediction biases are often enforced by disparities in the amount of model
199 training data, we first investigate NetMHCpan's training dataset to determine whether the
200 data is representative of the global population. To do this, we used allele distribution data
201 from the National Marrow Donor Project (NMDP) [19]. Codes for population groups can be
202 found in Supplementary Table S1. For each population, we calculated the fraction of people
203 who have at least one HLA-A/B/C/DRB1 allele for which there is no data in NetMHCpan's
204 training set.

205 There exists a substantial disparity between the most and least represented populations
206 in NetMHCpan's training dataset. European Caucasian individuals are most likely to see
207 their genotypes represented in the training set, while Southeast Asian, Pacific Islander,
208 South Asian, and East Asian individuals are least likely to have genotypes represented in

209 the training set (Figure 1). Using the NMDP categories, only 0.4%/0.9%/0.6%/2.6% of Eu-
210 ropean Caucasian individuals have an HLA-A/B/C/DRB1 allele not found in NetMHCpan’s
211 training data, while 5.1%/27.7%/12.1%/33.6% of Vietnamese individuals and 30.1%/39.3%/10.8%/16.1%
212 of Filipino individuals have an HLA-A/B/C/DRB1 allele not found in NetMHCpan’s train-
213 ing data.

214 These disparities are not likely to have arisen by chance alone, given the fractions of the
215 populations for which no data exists are correlated between HLA groups (Supplementary
216 Table S2). For all pairs of groups there exists a positive correlation, with the strongest
217 correlation between HLA-A and HLA-B (0.750) and the weakest correlation between HLA-A
218 and HLA-DRB1 (0.238). Because the disparities are found in all four HLA groups examined
219 and are correlated with each other, this suggests a common systemic factor driving the
220 extreme imbalance of the training dataset.

221 **3.2 NetMHCpan-4.1 and NetMHCIIPan-4.0 Accurately Predict Pep-** 222 **ptide Binding to Novel Alleles**

223 Because there exists such a vast disparity in the representation of populations in NetMHC-
224 pan’s training data, we hypothesized NetMHCpan is overfitting to the training set, making
225 the model unable to make accurate predictions for peptides binding to novel MHC proteins.
226 Therefore, we investigated whether there is a decrease in prediction quality for HLA se-
227 quences not found in the training data. To do this, we performed an experiment in which
228 NetMHCpan was tasked to predict eluted ligand binding scores for a dataset consisting of
229 1% peptides experimentally verified to bind to their corresponding MHC proteins and 99%
230 randomly generated peptides, as has been commonly used in the literature [30]. We then
231 measured the rank of the predictions for the experimentally verified peptides, which we use
232 as our metric for the accuracy of the predictions (after a correction for motif binding entropy
233 described in the Methods section), as well as the area under the ROC curve for each set of
234 predictions (AUC).

235 We ran the MHC class I peptide experiment on a large HLA class I eluted ligand dataset
236 [20]. In the dataset are $n = 39617$ peptides for 27 HLA-A and 18 HLA-C alleles with
237 training data in NetMHCpan-4.1’s training set, and $n = 8652$ peptides for 4 HLA-A alleles
238 and 3 HLA-C alleles without data in NetMHCpan-4.1’s training set. All together, these
239 novel alleles represent up to 28.8% of HLA-A alleles, and up to 11.7% of HLA-C alleles for
240 some populations (Supplementary Figure S5). Because there are no HLA-B alleles present
241 in the dataset but absent from NetMHCpan-4.1’s training set, we omit HLA-B from this
242 analysis.

243 NetMHCpan-4.1 accurately recalls experimentally validated peptides from a training
244 dataset containing validated peptides and randomly generated peptides for these 7 alleles.
245 For both HLA-A and HLA-C, the allele for which NetMHCpan-4.1 best recalled experimen-

246 tally validated peptides was an allele for which NetMHCpan-4.1 had no data in its training
247 set (A*24:07 and C*14:03) (Figure 2). Overall, the predictions of binding peptides for the
248 alleles for which NetMHCpan-4.1 has no training data slightly outperform the predictions
249 for alleles for which it does have data (Supplementary Figure S6), with a 95% bootstrap
250 confidence interval for the difference in the medians of the two sets being (0.037, 0.063)
251 (Supplementary Figure S7). On average, NetMHCpan-4.1 ranks experimentally verified
252 peptides for alleles for which data does not exist 1.12 times higher than it ranks peptides
253 which correspond to alleles in its training dataset. In summary, we fail to find evidence that
254 the imbalance in the training dataset leads a decrease in the quality of NetMHCpan-4.1
255 predictions for novel alleles.

256 In the case of MHC class II predictions, we focus exclusively on DRB1 because HLA-
257 DR is the only MHC class II protein to vary only in the beta chain, which simplifies the
258 testing process, as we do not have to test combinations of alleles. While a comprehensive
259 eluted ligand dataset exists for the MHC class I peptidome, no analogous dataset exists
260 for HLA-DRB1. Therefore, we used IEDB to gather data for alleles which were present
261 in NetMHCIIpan-4.0's training data, and data from a recent C1R cell line eluted ligand
262 study for peptides binding to DRB1*12:02, an allele not represented in NetMHCIIpan-4.0's
263 training set [21][22]. All together, we have $n = 45286$ peptides from 10 alleles with training
264 data in NetMHCIIpan-4.0, and $n = 32402$ peptides from allele DRB1*12:02.

265 In contrast to NetMHCpan-4.1, the predictions generated by NetMHCIIpan-4.0 for pep-
266 tides corresponding to alleles for which it has no data are slightly worse than average,
267 when measured by median log-rank (Supplementary Figure S6). However, when measured
268 by AUC, DRB1*12:02 ranks around average, greater than 6 alleles and less than 4 alle-
269 les. A 95% bootstrap confidence interval for the difference in the medians between pep-
270 tides corresponding to alleles with and without data in NetMHCIIpan-4.0's training set is
271 (-0.260, -0.232), with NetMHCIIpan-4.0 on average ranking experimentally validated pep-
272 tides 1.8 times lower for the DRB1*12:02 allele (Supplementary Figure S7). However, while
273 NetMHCIIpan-4.0 makes statistically significantly worse predictions for DRB1*12:02 than
274 for the other alleles, the discrepancy between the median log rank of the best performing
275 allele (DRB1*15:01) and the median log rank of DRB1*12:02 is less than half the interquar-
276 tile range of the log-ranks of predictions for DRB1*12:02, suggesting the the difference in
277 prediction quality is relatively minor compared to the variability in predictions for a given
278 allele. Furthermore, there exists an allele with data in NetMHCIIpan-4.0's training dataset,
279 DRB1*04:04, for which NetMHCIIpan-4.0 is less accurate at distinguishing real peptides
280 than for DRB1*12:02.

281 While problems of skewed datasets have affected quality of numerous other machine
282 learning based predictions algorithms, we find no evidence this is true of NetMHCpan. By
283 testing the ability of NetMHCpan to recall experimentally verified binding peptides to alleles

284 for which the algorithm has no training data, we fail to conclude there exists a meaningful
285 difference between alleles for which NetMHCpan has training data, and those for which it
286 does not.

287 **3.3 NetMHCpan Training Data Covers a Large Subset of HLA** 288 **Allele Space**

289 As a lack of diversity in training data often leads machine learning models to overfit to their
290 training set, we seek to understand why this does not appear to be true for NetMHCpan.
291 Therefore, we visualize the training dataset by measuring sequence similarity between HLA
292 alleles with frequency greater than 0.01% in any population, and use these computed simi-
293 larities to perform multidimensional scaling (MDS) in order to visualize the sequence space
294 as a two-dimensional map [28].

295 For all four HLA types measured, alleles tend to organize into clusters, a majority which
296 contain at least one allele with data in NetMHCpan’s training dataset (Figure 4a). This
297 suggests that while NetMHCpan may be missing data for many alleles common in non-
298 European populations, the alleles for which it has data are sufficiently similar to the missing
299 alleles as to allow the model to make reasonable inference about the biochemical properties
300 of alleles without data.

301 Furthermore, measuring pairwise distances between all alleles provides context for the
302 performance of NetMHCpan on novel alleles reported above. While a sample size of $n =$
303 8 is not large enough to provide numerical estimates with any sort of power, the data
304 qualitatively indicate a potential positive correlation between distance to the nearest allele
305 and performance (Supplementary Figure S8). To measure the extent to which an allele is
306 novel, we calculate the distance to the nearest allele in the training data for each allele
307 not in NetMHCpan’s training data (4b). Of the eight alleles tested, seven are further from
308 the nearest allele present in training data than a majority of the untested alleles, with the
309 exception being C*14:03 (Supplementary Table S3). Therefore, while the choices of which
310 alleles without training data to test were driven by data availability, we demonstrate the
311 alleles tested are less similar to the training data than other HLA alleles. Thus, the accuracy
312 of NetMHCpan’s predictions for these alleles is not driven by greater than average similarity
313 of these alleles to alleles found in the training dataset.

314 **3.4 NetMHCpan Correctly Identifies MHC Residues Involved in** 315 **Peptide Binding**

316 Finally, we aim to understand the extent to which NetMHCpan identifies residues struc-
317 turally involved in peptide binding. As NetMHCpan allows for direct input of an MHC
318 protein sequence, we perform an experiment in which we mutate each residue of a given

319 HLA sequence individually, and measure how much NetMHCpan’s EL scores for experi-
320 mentally verified peptides change compared to the unmodified sequence. We focus on three
321 case studies, HLA-A*34:01, HLA-C*04:03, and HLA-DRB1*12:02, as these alleles constitute
322 the worst-performing allele for each HLA type.

323 In each case, the MHC residues which have the greatest impact on NetMHCpan’s predic-
324 tion are all residues that make physical contact with the peptide (Figure 5, Supplementary
325 Tables S4-S6). This suggests that the accuracy of NetMHCpan’s predictions on novel alle-
326 les is partly driven by its ability to selectively pay attention to residues involved with the
327 physical process of binding. Of special interest is the observation that many residues which
328 affect the predictions for peptides binding to DRB1*12:02 are residues previously identi-
329 fied to determine the binding motif of DR12, namely, 13G, 57V, 70D, 71R, 74A, and 86V
330 [22]. Therefore, we conclude NetMHCpan implicitly learns the MHC residues structurally
331 involved in binding, and its ability to generalize these findings to novel alleles increases its
332 prediction accuracy.

333 4 Discussion

334 We report NetMHCpan fails to include a geographically diverse set of HLA alleles in its
335 training data. We find individuals from underrepresented populations, predominantly from
336 Asia, are twenty times more likely to carry HLA alleles not present in NetMHCpan’s train-
337 ing data. Furthermore, we observe correlation between population representation between
338 all four alleles measured, suggesting that the dataset bias is a result of systemic underrep-
339 resentation of minority groups in the NetMHCpan training dataset.

340 Numerous previous examples of training dataset racial bias affecting machine learning
341 model predictions led us to hypothesize NetMHCpan would make less accurate predictions
342 on alleles which were not present in its training dataset [13][14][15]. Furthermore, previous
343 work showed NetMHCpan is subject to systemic biases regarding hydrophobicity, suggesting
344 that other biases may be lurking [12]. Unexpectedly, we fail to find evidence that there is a
345 substantial difference in the ability of NetMHCpan to discriminate experimentally verified
346 binding peptides from randomly generated peptides. Instead, we observe a slight increase
347 in the prediction ability for MHC class I alleles with no data present in the training set, and
348 only a slight decrease for MHC class II alleles. While both effects are statistically significant,
349 we allege neither is large enough to have a substantial effect on prediction quality.

350 To explain this unexpected result, we characterize the sequence space of common HLA
351 alleles. While NetMHCpan’s training dataset fails to include many alleles common in un-
352 derrepresented populations, we show that the alleles for which training data exist are well-
353 distributed throughout sequence space. We thus hypothesize that MHC sequence diversity
354 in the training dataset partially explains the failure to observe a drop in prediction quality.

355 Furthermore, we establish a connection between the residues that impact NetMHCpan's
356 predictions and the residues that physically contact the peptide for three HLA alleles not
357 present in NetMHCpan's training data.

358 The discrepancies in the diversity of HLA eluted ligand datasets that compelled this
359 study also constitute a major limitation, as only eight novel HLA alleles were tested, with
360 no novel HLA-B alleles. Furthermore, our study design was limited to only testing one
361 allele at a time, and so we did not investigate complex effects that could be associated with
362 linkage disequilibrium in MHC class II molecules formed by two interacting chains, including
363 HLA-DQ and HLA-DP [31]. We only tested the ability of NetMHCpan to distinguish
364 experimentally verified peptides from randomly generated peptides, and did not perform
365 any experiments to characterize the model's ability to predict binding affinity. Finally,
366 NetMHCpan is closed source, and so we were unable to view the internal network structure,
367 needing to rely on an occlusion sensitivity-like metric to determine how the network makes
368 predictions.

369 We present evidence of a strong bias in NetMHCpan's training dataset toward Euro-
370 pean Caucasian individuals. While we fail to find evidence this bias affects the accuracy
371 of NetMHCpan's predictions, the bias in the training dataset highlights the need for MHC
372 eluted ligand datasets that contain data for alleles for underrepresented populations. Fur-
373 thermore, given the outsized impact of NetMHCpan on the training data generated for other
374 MHC binding prediction tools, future work must investigate the composition of training
375 datasets and potential bias in other tools [32]. Finally, we recommend all tools that utilize a
376 dataset involving HLA alleles as part of their pipeline clearly report the composition of any
377 datasets they utilize for training, and perform additional testing in the presence of biased
378 training data to ensure model predictions do not substantially decline for underrepresented
379 groups.

380 **Conflict of Interest Statement**

381 The authors declare that the research was conducted in the absence of any commercial or
382 financial relationships that could be construed as a potential conflict of interest.

383 **Author Contributions**

384 TKA, AS, GV, JC, and MR led discussions on this research. TKA conducted the data
385 analyses and wrote the manuscript. JC, GV, and MR reviewed the manuscript. All authors
386 contributed to the article and approved the submitted version.

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391 **Supplemental Data**

392 Supplementary Material should be uploaded separately on submission, if there are Supple-
393 mentary Figures, please include the caption in the same file as the figure. LaTeX Supple-
394 mentary Material templates can be found in the Frontiers LaTeX folder.

395 **Data Availability Statement**

396 The datasets generated for this study can be found in the following repository: <https://github.com/ThomasKAtkins/netmhcpn-bias-data/tree/main>.

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514 **Figure captions**

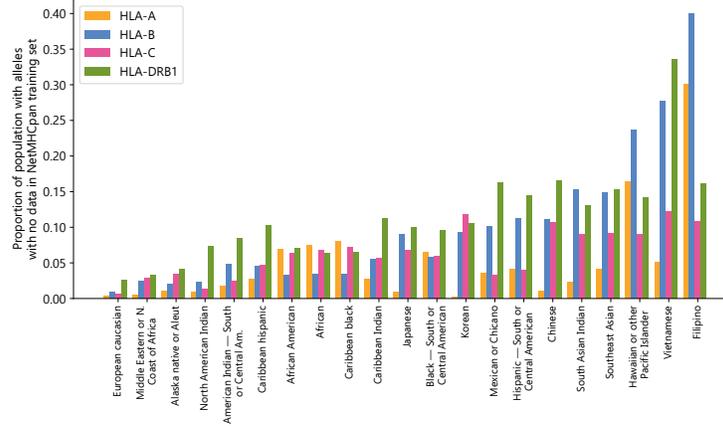


Figure 1: **NetMHCpan training data fails to cover common HLA alleles:** Proportion of populations (as defined by the National Marrow Donor Program) that have at least one HLA class A, B, C, or DRB1 allele with no data in the NetMHCpan-4.1 or NetMHCIIpan-4.0 training datasets.

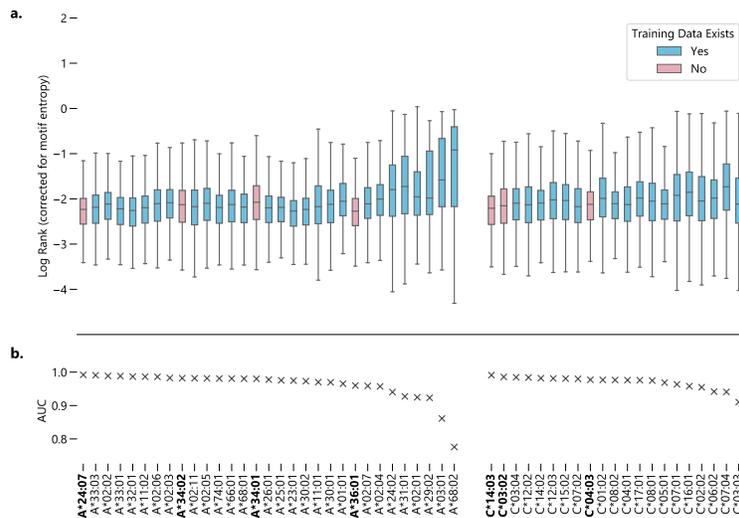


Figure 2: **Evaluating NetMHCpan-4.1 performance on novel alleles:** NetMHCpan-4.1 was tasked with separating peptides identified as true binders using LC-MS/MS (from Sarkizova et. al.) from randomly generated peptides for 52 HLA class I alleles. (A) Alleles with training data in NetMHCpan-4.1's training dataset are shown in blue, alleles without are shown in pink. Performance is measured by the distribution of log ranks of the true peptides, corrected for entropy of the allele binding motif (lower is better). (B) Area under the ROC curve (AUC) for each allele.

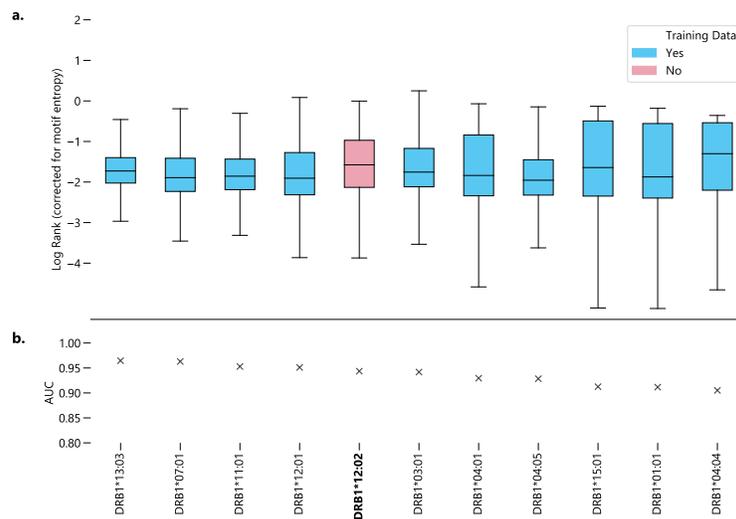


Figure 3: **Evaluating NetMHCIIpan-4.0 performance on novel alleles:** NetMHCIIpan-4.0 was tasked with separating peptides identified as true binders using LC-MS/MS (from IEDB) from randomly generated peptides for 10 HLA-DRB1 alleles with data in NetMHCIIpan-4.0's training set, and one allele without training data. (A) Performance is measured by the distribution of log ranks of the true peptides, corrected for entropy of the allele binding motif (lower is better). (B) Area under the ROC curve (AUC) for each allele.

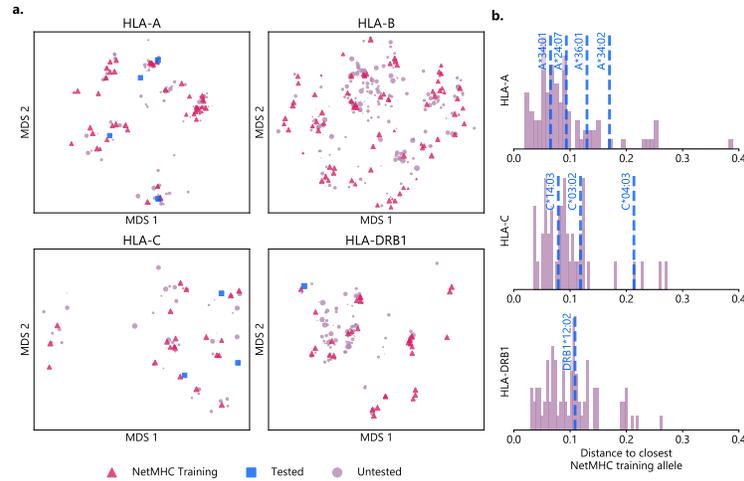


Figure 4: **Visualizing the training space of NetMHCpan:** (A) MDS plot of HLA alleles, with smaller distances corresponding to greater sequence similarity. Alleles included in NetMHCpan's training data are marked with pink triangles, alleles tested in Figures 2 and 3 with no training data are marked with blue squares, and other alleles are marked with purple circles. Marker size corresponds to maximum frequency of the allele in any NMDP population (log scale). (B) Histogram of distance to closest allele to data in NetMHCpan's training set for all alleles without training data. Alleles previously tested are shown with vertical dashed blue lines.

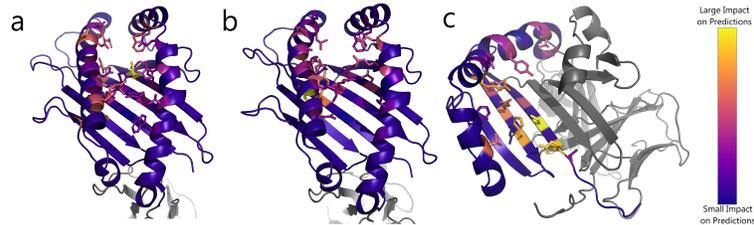


Figure 5: **Impact of substituting residues on NetMHCpan predictions for HLA alleles of interest:** Structure of (A) HLA-A*34:01 (B) HLA-C*04:03 and (C) HLA-DRB1*12:02. Residues are colored by impact of substitution on NetMHCpan predictions. Yellow residues indicate a large change to NetMHCpan predictions when replaced, purple residues indicate a small change. Sidechains are shown for residues of interest